

## COVERDELL EDUCATION SAVINGS ACCOUNT TRANSFER OF ASSETS FORM

Please complete this form if you are transferring assets from an existing Education Savings Account with another custodian to a Westcore Education Savings Account. If you have questions, please call us at 1-800-392-CORE(2673).

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### OWNER (STUDENT) INFORMATION

Name (First, Middle Initial, Last)

Social Security Number

Account Mailing Address

City

State

Zip Code

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### RESPONSIBLE INDIVIDUAL INFORMATION

Name (First, Middle Initial, Last)

Social Security Number

Address of Residence

City

State

Zip Code

Email Address (optional)

Telephone Number

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### INSTRUCTIONS TO RESIGNING CUSTODIAN OR TRUSTEE

Please complete the following instructions to your current custodian or trustee for the Education Savings Account assets you are transferring to Westcore. Please check with your current custodian or trustee for any special requirements for Education Savings Account transfers and confirm their mailing address and telephone number.

Name of Resigning Custodian or Trustee

Mailing Address

City

State

Zip Code

Telephone Number

Account Name

Account Number with Current Custodian or Trustee

**Please transfer assets from the above account to Westcore Funds. (Select only one box.)**

- Transfer the total amount in this Account.
- Transfer \$ \_\_\_\_\_ or \_\_\_\_\_ % and retain the balance.
- Transfer-in-kind shares of Westcore Funds.

**Make check payable to Westcore Funds FBO:**

Name of Student Benefiting

To be completed by Westcore:

**Please type the information below on the face of the check:**

Fund #(s):

Account #:

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**INVESTMENT INSTRUCTIONS**

Please tell us what Westcore account you would like to transfer into. (select one)

- Invest the transferred amount in accordance with the investment instructions on the attached Westcore Funds Education Savings Account Application.
- Invest the transferred amount in accordance with the investment instructions currently in effect for the Student's existing Westcore Funds Education Savings account number: \_\_\_\_\_.

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**SIGNATURE OF STUDENT, PARENT OR GUARDIAN**

If the Student has not attained the age of majority in his or her state of residence, the Parent or Guardian must execute this Transfer of Education Savings Account Assets Form.

**By signing below:**

- I acknowledge having sole responsibility for the investment choices indicated in Section 4 and having received a current prospectus for each Fund selected.
- I certify that an Education Savings Account with Westcore, into which assets will be transferred, has been established for the benefit of the Student named in Section 1.
- I understand that this transfer of Education Savings Account Assets is to be executed as a fiduciary-to-fiduciary transfer that will not put the Student in actual or constructive receipt of all or any part of the transferred assets.
- I understand that the requirements for valid Education Savings Account transfers and rollovers are complex. I assume full responsibility for complying with all requirements and for the tax results of any such transaction.
- I understand that inaccurate information may result in adverse tax consequences or IRS penalties. (Please consult a tax professional if you have questions.)

Signature of  Student  Parent  Guardian

**Signature Guarantee (only if required by current Custodian or Trustee)**

Name of Bank or Dealer Firm

Signature of Officer and Title

Place signature guarantee stamp here:

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**ACCEPTANCE BY NEW CUSTODIAN****(Completed by Colorado State Bank and Trust, N.A. as Custodian.)**

Colorado State Bank and Trust, N.A. agrees to accept transfer of the above amount for deposit to the Student's Westcore Funds Coverdell Education Savings Custodial Account, and requests the liquidation and transfer of assets as indicated above.

By

Date

**Mail to:** Westcore Funds  
P.O. Box 44323  
Denver, Colorado 80201-4323