



Traditional IRA and Roth IRA Application

Please complete this form to establish a **Traditional IRA** or **Roth IRA**. For assistance, please call **1-800-392-CORE (2673)**.

1 Depositor Information

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT: To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth, **identification number**, and other information that will allow us to identify you. **We may also ask to see your driver's license or other identifying documents. The documents you provide to us will be used solely to attempt to verify your identity. The information you provide may be shared with third parties for the purpose of validating your identity.** Applications without such information may not be accepted. Westcore Funds reserves the right to place limits on the transactions in your account until your identity is verified.

First Name _____ Middle Initial _____ Last Name _____ Social Security Number _____ Date of Birth _____

Mailing Address _____ City _____ State _____ Zip _____

Address of Residence (required if different from Mailing Address or if Mailing Address is a P.O. Box) _____ City _____ State _____ Zip _____

2 IRA Election

Please tell us what kind of IRA (Traditional or Roth) you would like to open by checking one diamond and one box below.

◆ Traditional IRA

Annual Contribution for tax year: _____

Amount Enclosed: \$ _____

SEP IRA Contribution for tax year: _____

Amount Enclosed: \$ _____

Transfer of existing Traditional IRA directly from another Custodian.^{1,2}

Rollover of distribution from existing Traditional IRA or other eligible rollover distribution from another Custodian.¹

Amount Enclosed: \$ _____

Direct Rollover from Employer Retirement Plan.

Please open my IRA and send me confirmation with my new account number. I will forward it to my employer and instruct them to prepare and mail a check payable to Westcore Funds with my Westcore IRA account number and "Direct Rollover" typed on the face.¹

Recharacterization Contribution (check one below)

_____ From a Westcore Roth IRA to a Traditional IRA.³

_____ Transfer of a non-Westcore Roth IRA to a Traditional IRA.¹

_____ Rollover from a non-Westcore Roth IRA to a Traditional IRA.⁴

Rollover Amount Enclosed: \$ _____

◆ Roth IRA

Annual Contribution for tax year: _____

Amount Enclosed: \$ _____

Transfer of existing Roth IRA from another Custodian.¹

Tax year for which you first established a Roth IRA (whether by a regular contribution or a conversion contribution): _____

Rollover of distribution from existing Roth IRA from another Custodian. Amount Enclosed: \$ _____

Tax year for which you first established a Roth IRA (whether by a regular contribution or a conversion contribution): _____

Conversion Contribution (check one below)

_____ From a Westcore Traditional IRA to a Roth IRA.²

_____ Transfer of a non-Westcore Traditional IRA to a Roth IRA.¹

_____ Rollover from a non-Westcore Traditional IRA to a Roth IRA.

Rollover Amount Enclosed: \$ _____

Recharacterization Contribution (check one below)

_____ From a Westcore Traditional IRA to a Roth IRA.³

_____ Transfer of a non-Westcore Traditional IRA to a Roth IRA.¹

_____ Rollover from a non-Westcore Traditional IRA to a Roth IRA.⁴

Rollover Amount Enclosed: \$ _____

¹ If any after-tax or nondeductible contributions are included in your transfers or rollover and you wish to track these contributions separately, you will need to open an account specifically for these funds.

² Please complete Transfer of Assets Form.

³ Please complete Authorization to Convert a Westcore Traditional IRA Form.

⁴ Please complete Authorization to Recharacterize a Westcore IRA Form.

⁵ Please complete Section 5, Recharacterization Election.

3 Investment Selection

Initial purchase minimum is \$1,000 per fund. Please make checks payable to Westcore Funds.

Invest to my account as follows:

Fund Name	Amount	or	%
200 - Westcore MIDCO Growth Fund	\$ _____		_____ %
195 - Westcore Growth Fund	\$ _____		_____ %
1289 - Westcore Select Fund	\$ _____		_____ %
1287 - Westcore International Frontier Fund	\$ _____		_____ %
204 - Westcore Blue Chip Fund	\$ _____		_____ %
298 - Westcore Mid-Cap Value Fund	\$ _____		_____ %
208 - Westcore Small-Cap Opportunity Fund	\$ _____		_____ %
300 - Westcore Small-Cap Value Fund	\$ _____		_____ %
205 - Westcore Micro-Cap Opportunity Fund	\$ _____		_____ %
213 - Westcore Flexible Income Fund	\$ _____		_____ %
198 - Westcore Plus Bond Fund	\$ _____		_____ %
189 - BlackRock Money Market Portfolio	\$ _____		_____ %
Total	\$ _____		_____ %

4 Bank Information

Please complete this section to authorize electronic transfers between your bank and Westcore for future contributions or distributions via the automatic investment plan, telephone or online services.

A voided check or savings deposit slip from your bank account must be attached to this form.

Please indicate type of account: Checking Savings

Name on Bank Account _____

Name of Bank _____

ABA Routing Number (first nine digits at bottom left on check) _____

Bank Account Number (include branch number) _____

5 Recharacterization Election

Please complete *only* if you are recharacterizing a contribution made to your non-Westcore IRA.

I hereby elect to recharacterize a contribution made to my existing IRA with another Custodian or Trustee (FIRST IRA) as a contribution to my Westcore IRA. For federal tax purposes, I elect to treat the contribution as having been made to my Westcore IRA (instead of to the FIRST IRA).

The FIRST IRA is a: Traditional IRA or a Roth IRA.

Date of the contribution to my FIRST IRA: _____ / _____ / _____

Tax year for which it was made: _____

Amount of the contribution I wish to recharacterize: \$ _____

6 Designation of Beneficiary

I hereby designate the individuals below as the beneficiary(ies) of this IRA. I understand that I can change or add beneficiaries at any time by written notice. My estate will become my beneficiary if I am not survived by any beneficiary. (Please attach a letter of instruction if you would like to name more than two primary or if you would like to name contingent beneficiaries.)

Primary Beneficiary(ies):

Name	Relationship	
Social Security Number	Date of Birth	% of Account
Name	Relationship	
Social Security Number	Date of Birth	% of Account

If distribution percentages are not specified or do not total 100%, Westcore Funds will assume equal beneficiary distribution.

7 Special Account Options

These options are subject to the terms set forth in the prospectus. Please see the prospectus for more information.

A Automatic Investment Plan

Yes (Please complete the following.) No

This option allows you to make automatic investments (must be the equivalent of at least \$100 per month per fund) into your Westcore account directly from your bank checking or savings account. **Please also complete Bank Information in Section 4.**

Fund Name	Amount
200 - Westcore MIDCO Growth Fund	\$ _____
195 - Westcore Growth Fund	\$ _____
1289 - Westcore Select Fund	\$ _____
1287 - Westcore International Frontier Fund	\$ _____
204 - Westcore Blue Chip Fund	\$ _____
298 - Westcore Mid-Cap Value Fund	\$ _____
208 - Westcore Small-Cap Opportunity Fund	\$ _____
300 - Westcore Small-Cap Value Fund	\$ _____
205 - Westcore Micro-Cap Opportunity Fund	\$ _____
213 - Westcore Flexible Income Fund	\$ _____
198 - Westcore Plus Bond Fund	\$ _____
189 - BlackRock Money Market Portfolio	\$ _____

How often would you like to invest?

Monthly Quarterly Annually

On or about what day? _____

If no date is specified, investments will be made on the 15th of each month.

B Telephone/Online Transaction Privileges

As an IRA shareholder you will automatically have access to your IRA via our automated telephone and online computer services unless you specifically decline them below.

- I DO NOT want any telephone or online privileges.
 I DO NOT want automatic telephone transaction privileges.
 I DO NOT want online transaction privileges.

8 Custodial Acceptance

Custodian Acceptance: Colorado State Bank and Trust, N.A. will accept appointment as Custodian of the Depositor's Account. However, this Agreement is not binding upon the Custodian until the Depositor has received a statement of the transaction. Receipt by the Depositor of a confirmation of the purchase of the Fund shares indicated will serve as notification of Colorado State Bank and Trust, N.A.'s acceptance of appointment as Custodian of the Depositor's Account.

9 Signature Guarantee Option

A Signature guarantee will not be required for redemptions greater than \$25,000 from your account unless you specifically request it below.

- Yes, I would like the Funds to require a signature guarantee for redemptions greater than \$25,000 from my account.

10 Certifications

By signing below:

- I certify that I am of legal age and have the power and authority to establish this Traditional or Roth IRA.
- I certify that I have received, read, understand and agree to the terms of an IRA which are contained in the Custodial Agreement and Disclosure Statement located in the Westcore IRA Information booklet. I understand that the requirements for valid IRA transfers, rollovers, conversions or recharacterizations are complex. I assume responsibility for complying with all requirements and for the tax results of any such transfer. I also understand that inaccurate information may result in adverse tax consequences or IRS penalties, and that my Roth IRA annual contributions may be subject to certain limits. (Please consult a tax professional if you have questions about how opening a Traditional or Roth IRA will affect your financial and tax situation.)
- I acknowledge receipt of the IRA Custodial Account Agreement and IRA Disclosure Statement at least seven days before the date inscribed below and that I have no further rights of revocation.
- I appoint Colorado State Bank and Trust, N.A. as custodian on my IRA.
- I certify that I have received, read, and understand the current prospectus for the Fund(s) in which I am investing, and that this account is subject to the terms of the prospectus, as amended from time to time. I understand that past performance is not indicative of future results and that shares of the funds are not bank deposits and are not insured by the FDIC.
- I authorize Westcore Funds and its agents to act upon instructions (by phone, in writing, online or other means) believed to be genuine for this account or any account into which exchanges are made. I agree that neither Westcore Funds nor its agents and affiliates will be liable for any loss, cost or expense for acting on such instructions, provided the Fund employs reasonable procedures to confirm that instructions communicated by phone or computer are genuine. "Reasonable procedures" might include, for example, recording instructions, providing written confirmation of transactions or requiring a form of personal identification prior to acting on instructions received by telephone or computer. I consent to the recording of telephone conversations.
- I understand that unless the boxes declining telephone/online transaction privileges are checked, I will be considered to have authorized telephone/online transaction privileges.
- I certify that all information provided is true and correct.
- I understand that this application is subject to acceptance or rejection by Westcore Funds and its transfer agent and that authorization for this account shall continue until the Fund receives notice of modification signed by all appropriate parties. I also understand that all terms shall be binding upon heirs, representatives and assignees of the account owners.

Delivery Consent of Investor Documents

Unless otherwise indicated below, I consent to the delivery by Westcore Funds of one copy of each prospectus, shareholder report and (if and when permitted by law) other information to all shareholders who now or hereafter share the same mailing address as this account. This consent will become effective when my account is opened and will continue thereafter indefinitely, unless I revoke my consent, in which case I will begin to receive individual copies within 30 days.

Check here only if you **DO NOT** consent to the foregoing.

I certify that I am (please check the appropriate box below):

- a **U.S. Person** (U.S. citizen or a resident alien).
 a **Foreign Person** (a nonresident alien). If you are a Foreign Person, we will send you a Form W-8BEN after we receive your IRA application. Please complete and return the form to Westcore Funds.

Note: The IRS does not require consent to any provisions of this document other than the Form W-8BEN certification required to qualify for a tax treaty rate of withholding (see IRS Publication 515).

Special Information Concerning the Money Market Portfolio

If I invest in the BlackRock Money Market Portfolio, I understand that I am authorizing ALPS Mutual Funds Services, Inc. to effect purchase and redemption orders on my behalf and to act as the custodian and record owner of my shares of that Portfolio. Ownership of those shares will be evidenced on books and records maintained by ALPS Mutual Funds Services, Inc. Denver Investment Advisors LLC receives shareholder servicing fees from the Portfolio as described in the Portfolio's prospectus.

11 Signature

X
Depositor's Signature _____ Date _____
Daytime Phone _____ Evening Phone _____ E-mail Address (if any) _____

A parent or guardian must also sign the Application here and provide the information requested below for identity verification purposes if the Depositor is a minor under the laws of the Depositor's state of residence. Until the Depositor reaches the age of majority, the parent or guardian will exercise the powers and duties of the Depositor.

Name of Parent or Guardian _____ Relationship _____
Social Security Number _____ Date of Birth _____
X
Signature of Parent or Guardian _____ Date _____