

Please complete this form if you are transferring assets from an existing Education Savings Account with another custodian to a Westcore Education Savings Account. For assistance, please call **1-800-392-CORE (2673)**.

1 Information and Consent

Please provide all of the requested information about the person who currently controls the account being transferred to Westcore.

First Name Middle Initial Last Name

Account Mailing Address

City State Zip

Daytime Telephone Social Security Number

If the person controlling the account is the Guardian of the Student, please submit proof of guardianship.

By signing below, I authorize and direct the current custodian or trustee to make the transfer specified in this form.

Signature Date

Signature Guarantee (only if required by current custodian or trustee; signature guarantee by a notary public is NOT acceptable).

Signature Guaranteed by:

Name of Bank or Dealer Firm

Signature of Officer and Title

2 Name of Student Benefiting

Please provide all of the requested information about the Student for whose benefit the Westcore Funds Education Savings Account has been established (as specified in Section 1 of the Westcore Funds Education Savings Account Application).

First Name Middle Initial Last Name

Account Mailing Address

City State Zip

Daytime Telephone Social Security Number

3 Instructions to Resigning Custodian or Trustee

Please complete the following instructions to your current custodian or trustee for the Education Savings Account assets you are transferring to Westcore.

Name of Resigning Custodian/Trustee

Attn:

Address

City State Zip

Telephone

Account Number

Please transfer assets from the above account to Westcore Funds.
(Select only one box.)

- Transfer the total amount in this Account.
- Transfer \$ _____ or _____ % and retain the balance.
- Transfer-in-kind shares of Westcore Funds.

Make check payable to Westcore Funds FBO:

Name of Student Benefiting

4 Investment Instructions

Please select one box and complete if necessary.

- Invest the transferred amount in accordance with the investment instructions on the attached Westcore Funds Education Savings Account Application.
- Invest the transferred amount in accordance with the investment instructions currently in effect for the Student's existing Westcore Funds Education Savings Account number:

5 Signature of Student, Parent or Guardian

If the Student has not attained the age of majority in his or her state of residence, the Parent or Guardian must execute this Transfer of Education Savings Account Assets Form.

By signing below:

- I acknowledge having sole responsibility for the investment choices indicated in Section 4 and having received a current prospectus for each Fund selected.
- I certify that an Education Savings Account with Westcore, into which assets will be transferred, has been established for the benefit of the Student named in Section 2.
- I understand that this transfer of Education Savings Account Assets is to be executed as a fiduciary-to-fiduciary transfer that will not put the Student in actual or constructive receipt of all or any part of the transferred assets.
- I understand that the requirements for valid Education Savings Account transfers and rollovers are complex. I assume full responsibility for complying with all requirements and for the tax results of any such transaction.
- I understand that inaccurate information may result in adverse tax consequences or IRS penalties. (Please consult a tax professional if you have questions.)

X

Signature of Student Parent Guardian

6 Acceptance By New Custodian

(Completed by Colorado State Bank and Trust, N.A. as Custodian.)

Colorado State Bank and Trust, N.A. agrees to accept transfer of the above amount for deposit to the Student's Westcore Funds Coverdell Education Savings Custodial Account, and requests the liquidation and transfer of assets as indicated above.

By _____

Date

Mail to:

Westcore Funds
P.O. Box 44323
Denver, CO 80201-4323