

**THIS FORM IS FOR WESTCORE SHAREHOLDER USE ONLY.** Use this form to recharacterize a contribution made to your existing Westcore IRA. Please note that a recharacterization will not change your investment selections. If you wish to rebalance your IRA or invest in different Westcore Funds please contact us at the phone number listed below. **If you are establishing a new Westcore IRA to accept the contribution being recharacterized, a Westcore IRA Application must accompany this form.** For assistance, please call a Westcore Investor Service Representative at **1-800-392-CORE (2673)**.

**1 Account Information**

First Name	Middle Initial	Last Name
Account Mailing Address		
City	State	Zip Code
Social Security Number		Date of Birth
Daytime Phone	Evening Phone	E-mail Address (if any)

**2 Recharacterization Election**

I elect to recharacterize a contribution made to my existing Westcore IRA (FIRST IRA) as a contribution made to my Westcore IRA indicated in Section 3 (SECOND IRA). For federal tax purposes, I elect to treat the contribution as having been made to my SECOND IRA (instead of to my FIRST IRA).

My FIRST IRA is a:  Traditional IRA  Roth IRA

Account Number of my FIRST IRA: \_\_\_\_\_

Date of the contribution to my FIRST IRA: \_\_\_\_/\_\_\_\_/\_\_\_\_

Tax year for which it was made: \_\_\_\_\_

Amount of the contribution I wish to recharacterize:

Fund Name	Amount	or	%
200 - Westcore MIDCO Growth Fund	\$ _____	_____	%
195 - Westcore Growth Fund	\$ _____	_____	%
1289 - Westcore Select Fund	\$ _____	_____	%
1287 - Westcore International Frontier Fund	\$ _____	_____	%
204 - Westcore Blue Chip Fund	\$ _____	_____	%
298 - Westcore Mid-Cap Value Fund	\$ _____	_____	%
208 - Westcore Small-Cap Opportunity Fund	\$ _____	_____	%
300 - Westcore Small-Cap Value Fund	\$ _____	_____	%
205 - Westcore Micro-Cap Opportunity Fund	\$ _____	_____	%
213 - Westcore Flexible Income Fund	\$ _____	_____	%
198 - Westcore Plus Bond Fund	\$ _____	_____	%
189 - BlackRock Money Market Portfolio	\$ _____	_____	%
<b>Total</b>	\$ _____	_____	%

**3 Instructions to the Custodian**

Please transfer the amount indicated in Section 2 (plus net income allocable to the contribution) from my FIRST IRA to my SECOND IRA.

My SECOND IRA is a:  Traditional IRA  Roth IRA

Check one box below:

Please deposit the recharacterization contribution to my SECOND IRA already established with Westcore.

Account Number: \_\_\_\_\_

Please open a new Westcore IRA to accept my recharacterization contribution. ***(A Westcore IRA Application must accompany this form or an account cannot be established.)***

**4 Authorization Investment Plan**

If you currently participate in the Automatic Investment Plan with the Westcore IRA that you are recharacterizing from, this option will be canceled unless indicated below.

Please continue the Automatic Investment Plan on the IRA that I am recharacterizing from.

**5 Signature**

I have read and understand the recharacterization rules located in the Westcore IRA Information Booklet. I understand that the requirements for a valid IRA recharacterization are complex. I assume full responsibility for complying with all requirements and for the tax results of any such transaction. I also understand that inaccurate information may result in adverse tax consequences or IRS penalties. (Please consult a tax professional if you have questions.)

\_\_\_\_\_  
Your Signature

\_\_\_\_\_  
Date

**Mail to:**

Westcore Funds  
P.O. Box 44323  
Denver, CO 80201-4323

*Thank you for your investment in Westcore Funds!*